

**SECTION 5: BUDGETS**

NAHASDA §§ 102(b)(2)(C), 404(b)

**(4) Sources of Funding (NAHASDA § 102(b)(2)(C)(i), (404(b)) (Complete the non-shaded portions of the chart below to describe your estimated or anticipated sources of funding for the 12-month program year. APR Actual Sources of Funding -- Please complete the shaded portions of the chart below to describe your actual funds received. Only report on funds actually received and under a grant agreement or other binding commitment during the 12-month program year.)**

| SOURCE                         | IHP  |   |   |  |  | APR   |  |  |   |  |   |
|--------------------------------|--|---|---|--|--|---|--|--|---|--|---|
|                                | (A)<br>Estimated amount on hand at beginning of program year | (B)<br>Estimated amount to be received during 12-month program year | (C)<br>Estimated total sources of funds (A+B) | (D)<br>Estimated funds to be expended during 12-month program year | (E)<br>Estimated unexpended funds remaining at end of program year (C-D) | (F)<br>Actual amount on hand at beginning of program year | (G)<br>Actual amount received during 12-month program year | (H)<br>Actual total sources of funding (F+G) | (I)<br>Actual funds expended during 12-month program year | (J)<br>Actual unexpended funds remaining at end of 12-month program year (H - I) | (K)<br>Actual unexpended funds obligated but not expended at end of 12-month program year |
| 1. IHBG Funds                  | \$958,314  | \$1,775,252   | \$2,733,568                                   | \$1,775,252  | \$958,314  |   |  | \$0  |   | \$0  |   |
| 2. IHBG Program Income         |  | \$410,300   | \$410,300                                     | \$409,383  | \$917  |   |  | \$0  |   | \$0  |   |
| 3. Title VI                    |  |   | \$0   |  | \$0  |   |  | \$0  |   | \$0  |   |
| 4. Title VI Program Income     |  |   | \$0   |  | \$0  |   |  | \$0  |   | \$0  |   |
| 5. 1937 Act Operating Reserves |  |   | \$0   |  | \$0  |   |  | \$0  |   | \$0  |   |
| 6. Carry Over 1937 Act Funds   |  |   | \$0   |  | \$0  |   |  | \$0  |   | \$0  |   |
| <b>LEVERAGED FUNDS</b>         |  |   |   |  |  |   |  |  |   |  |   |
| 7. ICDBG Funds                 |  |   | \$0   |  | \$0  |   |  | \$0  |   | \$0  |   |
| 8. Other Federal Funds         |  |   | \$0   |  | \$0  |   |  | \$0  |   | \$0  |   |





|   |           |           |           |  |  |     |
|---|-----------|-----------|-----------|--|--|-----|
| 2017-03 MORTGAGE ASSISTANCE PROGRAM                         | \$9,600   |           | \$9,600   |  |  | \$0 |
| 2017-04 REHABILITATION ASSISTANCE TO EXISTING HOMEOWNERS    | \$211,849 |           | \$211,849 |  |  | \$0 |
| 2017-05 TENANT BASED RENTAL ASSISTANCE                      | \$108,000 |           | \$108,000 |  |  | \$0 |
| 2017-06 OTHER HOUSING SERVICES                              | \$27,000  |           | \$27,000  |  |  | \$0 |
| 2017-07 HOUSING MANAGEMENT SERVICES                         | \$278,097 |           | \$278,097 |  |  | \$0 |
| 2017-08 OPERATION AND MAINTENANCE OF NAHASDA-ASSISTED UNITS | \$251,772 | \$120,383 | \$372,155 |  |  | \$0 |
| 2017-09 LIHTC OPERATIONS                                    | \$74,519  |           | \$74,519  |  |  | \$0 |
| 2017-10 INFRASTRUCTURE TO SUPPORT HOUSING                   | \$182,270 | \$300,000 | \$482,270 |  |  | \$0 |
| Planning and Administration                                 | \$301,951 |           | \$301,951 |  |  | \$0 |

|  |             |           |             |     |     |     |
|--|-------------|-----------|-------------|-----|-----|-----|
| Loan repayment - describe in 3 & 4 below |             |           | \$0         |     |     | \$0 |
| <b>TOTAL</b>                             | \$1,775,252 | \$420,383 | \$2,195,635 | \$0 | \$0 | \$0 |

**Notes:**

- a. Total of Column L cannot exceed the IHBG funds from Column C, Row 1 from the Sources of Funding table in Line 1 above.
- b. Total of Column M cannot exceed the total from Column C, Rows 2-10 from the Sources of Funding table in Line 1 above.
- c. Total of Column O cannot exceed total IHBG funds received in Column H, Row 1 from the Sources of Funding table in Line 1 above.
- d. Total of Column P cannot exceed total of Column H, Rows 2-10 of the Sources of Funding table in Line 1 above.
- e. Total of Column Q should equal total of Column I of the Sources of Funding table in Line 1 above.

**(3) Estimated Sources or Uses of Funding (NAHASDA § 102(b)(2)(C)).** *(Provide any additional information about the estimated sources or uses of funding, including leverage (if any). You must provide the relevant information for any planned loan repayment listed in the Uses of Funding table on the previous page. This planned loan repayment can be associated with Title VI or with private or tribal funding that is used for an eligible activity described in an IHP that has been determined to be in compliance by HUD. The text must describe which specific loan is planned to be repaid and the NAHASDA-eligible activity and program associated with this loan):*

**(4) APR (NAHASDA § 404(b))** (Enter any additional information about the actual sources or uses of funding, including leverage (if any). You must provide the relevant information for any actual loan repayment listed in the Uses of Funding table on the previous page. The text must describe which loan was repaid and the NAHASDA-eligible activity and program associated with this loan.):

|  |
|--|
|  |
|--|

**SECTION 6: OTHER SUBMISSION ITEMS**

[102(b)(2)(C)(ii)], [201(b)(5)], [202(6)], [205(a)(2)], [209], 24 CFR §§ 1000.108, 1000.120, 1000.142, 1000.

**(1) Useful Life/Affordability Period(s)** (NAHASDA § 205, 24 CFR § 1000.142) *(Describe your plan or system for determining the useful life/affordability period of the housing it assists with IHBG and/or Title VI funds must be provided in the IHP. A record of the current, specific useful life/affordability period for housing units assisted with IHBG and/or Title VI funds (excluding Mutual Help) must be maintained in the recipient's files and available for review for the useful life/affordability period.):*  
Rehabilitation of Privately Owned Homes:  
Less than \$5,000 - 5 Months  
\$5,000 to \$20,000 - 3 Years  
Lease Purchase or Acquisition - 25 Years

**(2) Model Housing and Over-Income Activities** (NAHASDA § 202(6), 24 CFR § 1000.108) *(If you wish to undertake a model housing activity or wish to serve non-low-income households during the 12-month program year, those activities may be described here, in the program description section of the 1-year plan, or as a separate submission.):*  
N/A

**(3) Tribal and Other Indian Preference** (NAHASDA § 201(b)(5), 24 CFR § 1000.120)  
If preference will be given to tribal members or other Indian families, the preference policy must be described. This information may be provided here or in the program description section of the 1-year plan.

Does the Tribe have a preference policy?      Yes  No

If yes, describe the policy.  
Indian Preference as outlined in HASNOK policies are as follows: 1. Enrolled Full-blood Seminole Tribal member, 2. Enrolled Seminole Tribal member, 3. Other Indian Families

**(4) Anticipated Planning and Administration Expenses** (NAHASDA § 102(b)(2)(C)(ii), 24 CFR § 1000.238)  
Do you intend to exceed your allowable spending cap for Planning and Administration?      Yes  No

If yes, describe why the additional funds are needed for Planning and Administration. For a recipient administering funds from multiple grant beneficiaries with a mix of grant or expenditure amounts, for each beneficiary state the grant amount or expenditure amount, the cap percentage applied, and the actual dollar amount of the cap.



**(5) Actual Planning and Administration Expenses (NAHASDA § 102(b)(2)(C)(ii), 24 CFR § 1000.238)**

Did you exceed your spending cap for Planning and Administration?

Yes  No

If yes, did you receive HUD approval to exceed the cap on Planning and Administration costs?

Yes  No

If you did not receive approval for exceeding your spending cap on planning and administration costs, describe the reason(s) for exceeding the cap. (See Section 6, Line 5 of the Guidance for information on carry-over of unspent planning and administration expenses.)

**(6) Expanded Formula Area - Verification of Substantial Housing Services (24 CFR § 1000.302(3))** *If your tribe has an expanded formula area (i.e., an area that was justified based on housing services provided rather than the list of areas defined in 24 CFR § 1000.302 Formula Area (1)), the tribe must demonstrate that it is continuing to provide substantial housing services to that expanded formula area. Does the tribe have an expanded formula area?*

Yes  No  **If no, proceed to Section 7.**

If yes, list each separate geographic area that has been added to the Tribe's formula area and the documented number of Tribal members residing there.

For each separate formula area expansion, list the budgeted amount of IHBG and other funds to be provided to all American Indian and Alaska Native (AIAN) households and to only those AIAN households with incomes 80% of median income or lower during the recipient's 12-month program year:

| Total Expenditures on Affordable Housing Activities for: |                     |   |
|--|---------------------|---|
|  | All AIAN Households | AIAN Households with Incomes 80% or Less of Median Income |
| <b>IHBG Funds:</b>                                       |                     |   |
| <b>Funds from Other Sources:</b>                         |                     |   |



**(7) APR:** If answered "Yes" in Line 6, for each separate formula area, list the actual amount of IHBG and other funds expended for all AIAN households and for only AIAN households with incomes 80% of median income or lower during the recipient's 12-month program year.

| Total Expenditures on Affordable Housing Activities for: |                     |   |
|--|---------------------|---|
|  | All AIAN Households | AIAN Households with Incomes 80% or Less of Median Income |
| IHBG Funds:  |                     |   |
| Funds from Other Sources:                                |                     |   |

## SECTION 7: INDIAN HOUSING PLAN CERTIFICATION OF COMPLIANCE

NAHASDA § 102(b)(2)(D)

By signing the IHP, the recipient certifies its compliance with Title II of the Civil Rights Act of 1968 (25 USC Part 1301 et seq.), and ensures that the recipient has all appropriate policies and procedures in place to operate its planned programs. The recipient should not assert that it has the appropriate policies and procedures in place if these documents do not exist in its files, as this will be one of the items verified during any HUD monitoring review.

**(1) In accordance with applicable statutes, the recipient certifies that:**

It will comply with Title II of the Civil Rights Act of 1968 in carrying out this Act, to the extent that such title is applicable, and other applicable federal statutes.

Yes  No

**(2) In accordance with 24 CFR 1000.328, the recipient receiving less than \$200,000 under FCAS certifies that:**

There are households within its jurisdiction at or below 80 percent of median income.

Yes  No  Not Applicable

**(3) The following certifications will only apply where applicable based on program activities.**

a. It will maintain adequate insurance coverage for housing units that are owned and operated or assisted with grant amounts provided under NAHASDA, in compliance with such requirements as may be established by HUD;

Yes  No  Not Applicable

b. Policies are in effect and are available for review by HUD and the public governing the eligibility, admission, and occupancy of families for housing assisted with grant amounts provided under NAHASDA;

Yes  No  Not Applicable

c. Policies are in effect and are available for review by HUD and the public governing rents charged, including the methods by which such rents or homebuyer payments are determined, for housing assisted with grant amounts provided under NAHASDA; and

Yes  No  Not Applicable

d. Policies are in effect and are available for review by HUD and the public governing the management and maintenance of housing assisted with grant amounts provided under NAHASDA.

Yes  No  Not Applicable

## SECTION 8: IHP TRIBAL CERTIFICATION

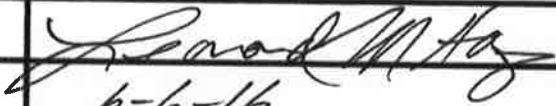
NAHASDA § 102(c)

This certification is used when a Tribally Designated Housing Entity (TDHE) prepares the IHP or IHP amendment on behalf of the Tribe. This certification must be executed by the recognized tribal government covered under the IHP.

(1) The recognized tribal government of the grant beneficiary certifies that:

(2)  It had an opportunity to review the IHP or IHP amendment and has authorized the submission of the IHP by the TDHE; or

(3)  It has delegated to such TDHE the authority to submit an IHP or IHP amendment on behalf of the Tribe without prior review by the Tribe.

|   |  |
|---|--|
| (4) Tribe:                                | Seminole Nation of Oklahoma  |
| (5) Authorized Official's Name and Title: | Leonard M. Harjo, Principal Chief  |
| (6) Authorized Official's Signature:      |  |
| (7) Date (MM/DD/YYYY):                    | 6-6-16   |

## SECTION 9: TRIBAL WAGE RATE CERTIFICATION

NAHASDA §§ 102(b)(2)(D)(vi), 104(b)

By signing the IHP, you certify whether you will use tribally determined wages, Davis-Bacon wages, or HUD determined wages. Check only the applicable box below.

- (1)  You will use tribally determined wage rates when required for IHBG-assisted construction or maintenance activities. The Tribe has appropriate laws and regulations in place in order for it to determine and distribute prevailing wages.
- (2)  You will use Davis-Bacon or HUD determined wage rates when required for IHBG-assisted construction or maintenance activities.
- (3)  You will use Davis-Bacon and/or HUD determined wage rates when required for IHBG-assisted construction except for the activities described below.

(4) List the activities using tribally determined wage rates:

N/A